



Docket No.: R2180.0189/P189  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Takaaki Negoro et al.

Confirmation No.: 9540

Application No.: 10/769,817

Group Art Unit: Not Yet Assigned

Filed: February 3, 2004

Examiner: Not Yet Assigned

For: SEMICONDUCTOR DEVICE HAVING  
DMOS AND CMOS ON SINGLE  
SUBSTRATE

**DECLARATION OF SHUICHI TOMITA IN SUPPORT OF PETITION UNDER  
CFR 1.47(A)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

I, Shuichi Tomita, hereby declare and state as follows:

1). I am a member of the intellectual property group of Ricoh Technology Research, Inc. and I am in charge of the administrative matters concerning the above-identified application which has been assigned to Ricoh Company, Ltd. As part of my duties, I was assigned the task of trying to locate Takeshi Kimura, the third named inventor of the invention claimed in the above-identified patent application.

2). After diligent efforts, I have been unable to locate Takeshi Kimura. The events and facts concerning the diligent efforts to locate Mr. Kimura, as well as his last known address, are described below.

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3). At the time of invention, Mr. Kimura was employed by Ricoh Company, Ltd. Since that time, his employment with Ricoh Company, Ltd. ("Rico~~h~~") has been terminated. Ricoh has subsequently been unable to reach Mr. Kimura following the filing of this patent application.

4). On March 10, 2004, a copy of the entire specification of the above-referenced patent application was sent to the last known address of Mr. Takeshi Kimura. The Oath and Declaration was also sent in this package, for Mr. Kimura's signature. The package was shipped by the over-night delivery company named "Kuroneko-Yamato."

5). On March 11, 2004, a delivery slip was returned from Kuroneko-Yamato, stating (equivalently in Japanese) that "a recipient moved to an unknown place and therefore the package should return." This passage was written by a delivery person named Hoso~~y~~, and is circled in red on the attached copy of the delivery slip.

6). After March 11, 2004, we contacted several of Mr. Kimura's former colleagues at Ricoh; however, none of his former colleagues are familiar with his whereabouts. The Personnel Department at Ricoh also lists the same address information listed below, which is where the March 10, 2004 package was sent.

7). During the first two weeks of June 2004, several attempted telephone calls were placed to reach Mr. Kimura at his last known telephone number (045-9438724). None of these attempts were successful. Although the telephone line appeared operative, no one answered the calls.

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8). An Internet search was also conducted for information on "Takeshi Kimura." The Internet search provided no new information on Mr. Kimura's whereabouts.

9). On June 3, 2004, we contacted the Post Office in Yokohama-shi to obtain a forwarding address for Mr. Kimura from his local Post Office. The Post Office refused our request for the personal information. At the Post Officer's suggestion, a letter stamped "URGENT" was mailed to the last known address of Mr. Kimura on June 10, 2004, but we have not received a reply to that letter.

10). The last known address for Takeshi Kimura is:

Chigasaki-Minami, 4-12-4-207, Tsuduki-ku

Yokohama-shi, Kanagawa-ke, Japan.

11). At this point, it seems unlikely that an inventor's declaration can be procured from Mr. Kimura as all available means to contact him have been exhausted.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the above-identified patent.

July 12, 2004  
Date

Shuichi Tomita  
Shuichi Tomita

再配達行

宅急便・ヤマト便・小さな引越便共用 お客様サービスセンター 0120-01-9625

交通事情等によりご希望のお届け日・お届け時間帯に届かない場合もありますので予めご了承下さい。

ご依頼主様のご希望された時間にお届けさせていただきます。

お問い合わせ伝票番号

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予定日

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午前中

12時~14時

14時~16時

16時~18時

18時~20時

20時~21時

希望のお届け日がある場合は記入ください

品名 (ワレモノ・なまもの)

鯉 沼様

ゴルフ・スキー・空港

クラブ本数

ゴルフ・スキー・プレー日又は搭乗日

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発行理由

その他

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品番916

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Image Communication

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PTO/SB/106 (05-00)  
Approved for use through 10/31/02. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Declaration and Power of Attorney for Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

#### 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先として国籍は、私の氏名の後に記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名前の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEMICONDUCTOR DEVICE HAVING DMOS AND  
CMOS ON SINGLE SUBSTRATE

SEMICONDUCTOR DEVICE HAVING DMOS AND  
CMOS ON SINGLE SUBSTRATE

上記発明の明細書はここに添付されているが、下記の箱がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:



02/03/2004

の日に出版され、

この出版の米国出版番号またはPCT国際出版番号は、

であり、且つ

の日に補正された出版（該当する場合）

私は、上記の補正によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。



was filed on 02/03/2004

as United States Application Number or

PCT International Application Number

and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# Japanese Language Declaration

(日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)(d)項又は第365条(a)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent, or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

## Prior Foreign Application(s)

Priority Not Claimed

優先権を主張なし

外国での先行出願

2003-029375 (Number) (番号)	Japan (Country) (国名)	06/02/2003 (Day/Month/Year Filed) (出願日/月/年)	<input type="checkbox"/>
_____ (Number) (番号)	_____ (Country) (国名)	_____ (Day/Month/Year Filed) (出願日/月/年)	<input type="checkbox"/>

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

_____ (Application No.) (出願番号)	_____ (Filing Date) (出願日)
_____ (Application No.) (出願番号)	_____ (Filing Date) (出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、本特許出願第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

_____ (Application No.) (出願番号)	_____ (Filing Date) (出願日)	_____ (Status Patented, Pending, Abandoned) (状況: 特許許可、係属中、放棄)
_____ (Application No.) (出願番号)	_____ (Filing Date) (出願日)	_____ (Status Patented, Pending, Abandoned) (状況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に基く陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## Japanese Language Declaration

(日本語宣言書)

委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Thomas J. D'Amico, 28,371

Thomas J. D'Amico, 28,371

## 書類送付先

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唯一または第一発明者氏名 Takaaki Negoro	Full name of sole or first inventor Takaaki Negoro
発明者の署名 日付	Inventor's signature Date Takaaki Negoro February 22, 2004
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国籍 Japan	Citizenship Japan
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第二共同発明者がいる場合、その氏名 Keiji Fujimoto	Full name of second joint inventor, if any Keiji Fujimoto
第二共同発明者の署名 日付	Second inventor's signature Date Keiji Fujimoto February 26, 2004
住所 Hyogo-ken, Japan	Residence Hyogo-ken, Japan
国籍 Japan	Citizenship Japan
郵便の宛先 c/o Ricoh Company, Ltd. 3-6, Nakamagome 1-chome Ohta-ku, Tokyo 143-8555 JAPAN	Post Office Address c/o Ricoh Company, Ltd. 3-6, Nakamagome 1-chome Ohta-ku, Tokyo 143-8555 JAPAN

(第三以下の共同発明者についても同様に記載し、署名を  
すること)

(Supply similar information and signature for third and subsequent  
joint inventors.)

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Takeshi Kimura		Full name of third joint inventor, if any Takeshi Kimura	
発明者の署名	日付	Inventor's signature	Date
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		Full name of fourth joint inventor, if any	
発明者の署名	日付	Inventor's signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	

		Full name of fifth joint inventor, if any	
発明者の署名	日付	Inventor's signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	

		Full name of sixth joint inventor, if any	
発明者の署名	日付	Inventor's signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	